PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:		(11) International Publication Number:	WO 99/25086
H04L	A2	(43) International Publication Date:	20 May 1999 (20.05.99)
 (21) International Application Number: PCT/FIG. (22) International Filing Date: 11 November 1998 ((30) Priority Data: 974198 11 November 1997 (11.11.9) (71) Applicant (for all designated States except US): SONI [FI/FI]; Sturenkatu 16, FIN-00510 Helsinki (FI). (72) Inventor; and (75) Inventor/Applicant (for US only): LAHTINEN, Pasi Aittatie 1 A 3, FIN-00390 Helsinki (FI). (74) Agent: PAPULA REIN LAHTELA OY; Fredrikinkat P.O. Box 981, FIN-00101 Helsinki (FI). 	11.11.9 7) ERA O	BY, CA, CH, CN, CU, CZ, DE, GE, GH, GM, HR, HU, ID, IL, KZ, LC, LK, LR, LS, LT, LU, MW, MX, NO, NZ, PL, PT, RO SL, TJ, TM, TR, TT, UA, UG ARIPO patent (GH, GM, KE, LS Eurasian patent (AM, AZ, BY, K European patent (AT, BE, CH, GB, GR, IE, IT, LU, MC, NL, I BJ, CF, CG, CI, CM, GA, GN, TD, TG). Published Without intervational and the second se	DK, EE, ES, FI, GB, GD, IS, JP, KE, KG, KP, KR, LV, MD, MG, MK, MN, RU, SD, SE, SG, SI, SK, US, UZ, VN, YU, ZW, S, MW, SD, SZ, UG, ZW), G, KZ, MD, RU, TJ, TM), CY, DE, DK, ES, FI, FR, PT, SE), OAPI patent (BF, GW, ML, MR, NE, SN,

(54) Title: GENERATION OF A SEED NUMBER

(57) Abstract

Method for computing the key to an encryption algorithm used to encrypt messages to be transmitted over a telecommunication network and for generating the seed number needed for the computation of the encryption key. According to the invention, the seed number used is a number computed from a random number generated by the authentication centre of the mobile communication network, and the encryption key is computed using the authentication algorithm from the seed number and a subscriber identification key.

|SDOCID: <WO_____9925086A2_I_>

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	
BB	Barbados	GH	Ghana	MG	Madagascar	T.J	Togo
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Tajikistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkmenistan
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Turkey
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Trinidad and Tobago
BR	Brazil	IL	Israel	MR	Mauritania		Ukraine
BY	Belarus	IS	Iceland	MW	Malawi	UG	Uganda
CA	Canada	IT	Italy	MX	Mexico	US	United States of America
CF	Central African Republic	JP	Japan	NE	Niger	UZ	Uzbekistan
CG	Congo	KE	Kenya	NL	Netherlands	VN	Viet Nam
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	YU	Yugoslavia
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand	ZW	Zimbabwe
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT			
ะบ	Cuba	KZ	Kazakstan	RO	Portugal		
\mathbf{z}	Czech Republic	LC	Saint Lucia	RU	Romania		
ÞΕ	Germany	LI	Liechtenstein	SD	Russian Federation		
K	Denmark	LK	Sri Lanka	SE SE	Sudan		
EE	Estonia	LR	Liberia		Sweden		
			Ciocia	SG	Singapore		

WO 99/25086 PCT/FI98/00879

GENERATION OF A SEED NUMBER

The present invention relates to a method as defined in the preamble of claim 1 for computing the key to an encryption algorithm used to encrypt messages transmitted over a telecommunication network and for generating the seed number needed for the computation of the encryption key by making use of the subscriber identity module of a mobile station. Moreover, the invention relates to a system as defined in the preamble of claim 7 for computing the key to an encryption algorithm used to encrypt messages transmitted over a telecommunication network and for generating the seed number needed for the computation of the encryption key by making use of the subscriber identity module of a mobile station.

In the near future, it is to be expected that further applications will be designed for mobile station subscriber identity modules, such as SIM cards (Subscriber Identity Module, SIM), in which encryption of communication is required. The encryption algorithm 20 implementing the encryption needs an encryption key. In prior art, a method is known in which a separate algorithm for computing the encryption key is implemented in the subscriber identity module of a mobile station. In another prior-art method, the encryption key is sto-25 red on the subscriber identity module in conjunction with manufacture. In yet another previously known method, the encryption key is stored on the subscriber identity module when the latter is taken into use. A problem with the prior-art methods is that managing the 30 seed number needed for the computation of the encryption key and/or managing the encryption key is difficult and, e.g. in solutions based on an RSA algorithm, separate equipment is needed. A further problem is that an encryption key permanently stored on the subscriber 35 identity module is not as secure as an encryption key having a variable value.

5

10

15

15

20

25

30

35

The object of the present invention is to disclose a new type of method that eliminates the problems described above. A further object of the invention is to disclose a system that can be used to implement said method.

A specific object of the present invention is to disclose a method and a system that allow flexible and safe management of seed numbers and encryption keys.

As for the features characteristic of the present invention, reference is made to the claims.

In the method of the invention, the encryption key required by the encryption algorithm used for the encryption of communication is computed from a certain seed number by making use of the subscriber identity module of the mobile station. When the mobile station is activated, its subscriber identity module performs an authentication procedure with the mobile communica-This is done by using an operatortion network. specific authentication algorithm and a seed number consisting of a random number RAND generated by the mobile communication network. The same authentication algorithm can be used to compute an encryption key. The seed number is a number computed on the basis of a random number RAND generated by the authentication centre AC of the mobile communication network. Using the seed number and a subscriber identification key K_{i} as starting values for the authentication algorithm, an application in the subscriber identity module computes the encryption key and stores it in the subscriber identity module. This encryption key is used when messages are to be encrypted and/or decrypted.

As compared with prior art, the present invention has the advantage that it makes the management of seed numbers and encryption keys considerably easier and simpler than before. As the seed numbers and encryption keys are calculated in the subscriber identity module when necessary, they need not be transmitted or

15

30

35

set. A further advantage is that no separate equipment is needed for the management of seed numbers and encryption keys, which means that cost savings are achieved. The invention also increases security. In the method of the invention, the encryption key changes continuously and it is not transmitted anywhere, thus considerably reducing the chance of its getting into the hands of outsiders.

In an embodiment of the method, a seed number 10 is calculated from a random number RAND generated by the authentication centre, producing a seed number such as RAND+1.

In an embodiment of the method, the encryption key is computed by using an A3 algorithm, which is an operator-specific authentication algorithm.

In an embodiment of the method, one or more encryption keys are used. In this case, each application requiring encryption has its own encryption key, thus increasing security.

In an embodiment of the method, the encryption key is computed by using one or more successive algorithms so that the result of the preceding algorithm is used as the seed number for the next algorithm. This provides the advantage that the seed number for the new algorithm is changed, which leads to increased security.

In an embodiment of the method, a certain portion of the random number range used by the mobile communication network is reserved for the calculation of seed numbers.

The system of the invention for computing the key to an encryption algorithm used to encrypt messages transmitted over a telecommunication network and for generating the seed number needed for the computation of the encryption key by making use of the subscriber identity module of a mobile station comprises an encryption device and means for the transmission of encrypted messages. The encryption device comprises a me-

ans for computing an encryption key from a seed number. The means used to transmit encrypted messages comprise a mobile station and an encryption server.

In an embodiment of the system, an encryption device is implemented both in the subscriber identity module and in the authentication centre.

In an embodiment of the system, the encryption device comprises a device for storing the encryption key.

In an embodiment of the system, the mobile station is GSM compatible.

In the following, the invention will be described by the aid of an embodiment example by referring to the attached drawings, wherein

Fig. 1a and 1b illustrate an example representing the method of the invention in the form of logic diagrams; and

Fig. 2 presents an example representing the hardware configuration of the system of the invention.

20 Fig. la illustrates a method in which the mobile communication network generates a random number RAND and sends it to the subscriber identity module 9. Based on this random number, a seed number RAND+1 is calculated. This seed number 1 and the identification key $K_{\rm i}$ 2 are input as starting values to an A3 algo-25 rithm 3. The identification key K_{i} 2 is a user-specific secret parameter, which has been stored in the subscriber identity module 9 and in the authentication centre The A3 algorithm 3 is the same operator-specific algorithm that is used when the subscriber identity mo-30 dule 9 carries out an authentication procedure with the authentication centre 10 of the mobile communication network upon activation of the mobile station 8. A feature characteristic of the A3 algorithm 3 is that computing the encryption key 4 from the seed number 1 and 35 the identification key K_i 2 is easy, but determining the identification key 2 on the basis of the seed number 1 and the encryption key 4 is extremely difficult.

10

15

20

25

30

35

The encryption key 4 is the result produced by the algorithm 3. This encryption key 4 is used when messages are to be encrypted and/or decrypted.

Fig. 1b illustrates a variation of the method of the previous example. In this case, it is assumed that the random number range is 0 - 10000. It is divided into two halves so that the random number RAND values 0 - 4999 are reserved for the computation of seed numbers 5. the mobile communication network generates a random number RAND and sends it to the subscriber identity module 9. Based on the random number, a seed number RAND+5000 is calculated. The seed number 5 and the identification key $K_{\rm i}$ 2 are input as starting values to the A3 algorithm 3, which produces a new seed number 6 as a result. The new seed number 6 thus computed and the identification key K_{i} 2 are given as starting values to a new algorithm 7. The result obtained is used as the final encryption key 4. The advantage provided by this alternative is that the seed number 6 for the new algorithm 7 is automatically changed.

Fig. 2 illustrates a system in which encrypted short messages are transmitted between a GSM telephone 8 and an encryption server 12 in a GSM network. An encryption device 11 has been implemented both in the subscriber identity module 9 of the mobile station 8 and in the authentication 10 of the GSM network. encryption device 11 comprises a SIM Application Toolkit, an application that computes the encryption key 4. In addition, the encryption device 11 stores the computed encryption key 4 for use. When messages to be encrypted and/or decrypted are transmitted, the encryption device 11 computes an encryption key 4 on the basis of a seed number 1 and a user-specific identification key K_{i} 2 both on the SIM card 9 and in the authentication centre 10. Based on this encryption key 4, an encryption algorithm, such as an RSA or 3DES algorithm, implemented both on the SIM card and in the authentication server 12, encrypts/decrypts the message. The key

4 is stored for the next time it is needed, or a new value for the key is computed each time.

The invention is not restricted to the examples of its embodiments described above, but many variations are possible within the scope of the inventive idea defined by the claims.

SDOCID: <WO

992508642 1 >

CLAIMS

5

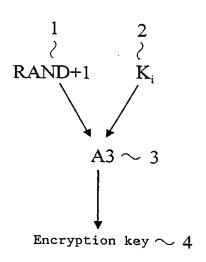
10

- 1. Method for computing the key (4) to an encryption algorithm used to encrypt messages to be transmitted over a telecommunication network and for generating the seed number (1, 5) needed for the computation of the encryption key (4) by making use of the subscriber identity module (9) of a mobile station (8), in which method the key to the encryption algorithm is computed from the seed number (1, 5) using a certain algorithm (3, 7), characterised in that
- the seed number (1, 5) used is a number computed from a random number RAND generated by the authentication centre (AC) (19) of the mobile communication network; and
- 15 using the authentication algorithm (3) of the mobile communication network, the encryption key (4) required by the encryption algorithm is computed from the seed number $(1,\ 5)$ and a subscriber identification key K_i (2).
- 2. Method as defined in claim 1, characterised in that the seed number is calculated from the random number RAND generated by the authentication centre (10), producing a seed number such as RAND+1.
- 3. Method as defined in claim 1 or 2, cha-25 racterised in that the encryption key (4) is computed by using an A3 algorithm (3).
 - 4. Method as defined in any one of claims 1 3, characterised in that one or more encryption keys are used.
- 5. Method as defined in any one of claims 1 4, characterised in that the encryption key is computed by using one or more successive algorithms (3, 7) in such manner that the result of the preceding algorithm (3) is used as the seed number for the next algorithm (7).
 - 6. Method as defined in any one of claims 1 $\!-\!$ 5, characterised in that a certain portion of

25

the random number range used by the mobile communication network is reserved for the computation of seed numbers (1, 5).

- 7. System for computing the key (4) to an en5 cryption algorithm used to encrypt messages to be
 transmitted over a telecommunication network and for
 generating the seed number (1, 5) needed for the computation of the encryption key by making use of the
 subscriber identity module (9) of a mobile station (8),
- said system comprising an encryption device (11) and means (8, 12) for the transmission of encrypted messages, characterised in that
 - the encryption device (11) comprises a means for computing the encryption key (4) from the seed number (1, 5); and
 - the means used to transmit encrypted messages comprise a mobile station (8) and an encryption server (12).
- 8. System as defined in claim 7, charac20 terised in that an encryption device (11) is implemented both in the subscriber identity module (9) and in the authentication centre (10).
 - 9. System as defined in claim 7 or 8, characterised in that the encryption device (11) comprises a device for storing the encryption key (4).
 - 10. System as defined in any one of claims 7 9, characterised in that the mobile station (8) is GSM compatible.



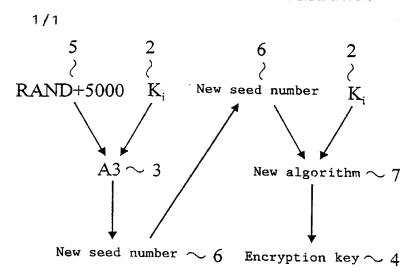


Fig. 1a

Fig. 1b

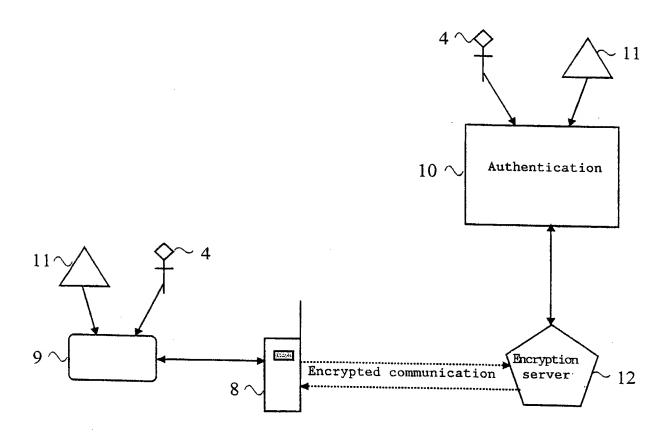


Fig. 2

	()		(_)	
				*
	-			
	•			
		2.		

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ :		(11) International Publication Number:	WO 99/25086
H04Q 7/38, H04L 9/30	A3	(43) International Publication Date:	20 May 1999 (20.05.99)

(21) International Application Number: PCT/FI98/00879

(22) International Filing Date: 11 November 1998 (11.11.98)

(30) Priority Data:
974198
11 November 1997 (11.11.97) FI

(71) Applicant (for all designated States except US): SONERA OY [FI/FI]; Sturenkatu 16, FIN-00510 Helsinki (FI).

(72) Inventor; and

(75) Inventor/Applicant (for US only): LAHTINEN, Pasi [FI/FI]; Aittatie 1 A 3, FIN-00390 Helsinki (FI).

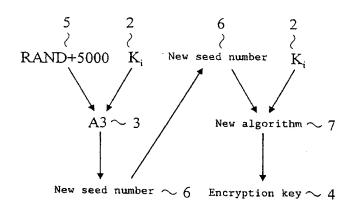
(74) Agent: PAPULA REIN LAHTELA OY; Fredrikinkatu 61 A, P.O. Box 981, FIN-00101 Helsinki (FI). (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(88) Date of publication of the international search report:
28 October 1999 (28.10. 99)

(54) Title: GENERATION OF A SEED NUMBER



(57) Abstract

Method for computing the key to an encryption algorithm used to encrypt messages to be transmitted over a telecommunication network and for generating the seed number needed for the computation of the encryption key. According to the invention, the seed number used is a number computed from a random number generated by the authentication centre of the mobile communication network, and the encryption key is computed using the authentication algorithm from the seed number and a subscriber identification key.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL AM AT AU AZ BA BB BF BG BJ CA CF CG CH CI CM CV CZ DE DK EE	Albania Armenia Austria Austria Australia Azerbaijan Bosnia and Herzegovina Barbados Belgium Burkina Faso Bulgaria Benin Brazil Belarus Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon China Cuba Czech Republic Germany Denmark Estonia	ES FI FR GA GB GE GH GN GR HU IE IL IS IT JP KE KG KP KR LC LI LK LR	Spain Finland France Gabon United Kingdom Georgia Ghana Guinea Greece Hungary Ireland Israel Iceland Italy Japan Kenya Kyrgyzstan Democratic People Republic of Korea Republic of Korea Republic of Korea Republic of Korea Liechtenstein Sri Lanka Liberia	LS LT LU LV MC MD MG MK ML MN MR MW MX NE NL	Lesotho Lithuania Luxembourg Latvia Monaco Republic of Moldova Madagascar The former Yugoslav Republic of Macedonia Mali Mongolia Mauritania Malawi Mexico Niger Netherlands Norway New Zealand Poland Portugal Romania Russian Federation Sudan Sweden Singapore	SI SK SN SZ TD TG TJ TM TR TT UA UG US US VN YU ZW	Slovenia Slovakia Scnegal Swaziland Chad Togo Tajikistan Turkmenistan Turkey Trinidad and Tobago Ukraine Uganda United States of America Uzbekistan Viet Nam Yugoslavia Zimbabwe
--	--	---	---	--	---	--	--

INTERNATIONAL SEARCH REPORT

International application No. PCT/FT 98/00879

	FUI/FI	96/008/9
A. CLASSIFICATION OF SUBJECT MATTER		
IPC6: H04Q 7/38, H04L 9/30 According to International Patent Classification (IPC) or to both	national classification and IPC	
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed	by classification symbols)	
IPC6: H04Q, H04L		
Documentation searched other than minimum documentation to to SE,DK,FI,NO classes as above	he extent that such documents are inc	cluded in the fields searched
Electronic data base consulted during the international search (nar	ne of data base and, where practicable	e, search terms used)
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category* Citation of document, with indication, where a	ppropriate, of the relevant passag	es Relevant to claim No.
M. Mouly, M.B. Pautet: The GSM Mobile Communications, Fran 7.2.2 & 7.2.3	System for ace 1992; sections	1,3,6-10
Y		2,4,5
		_,,,,
Y US 5590200 A (J.B. NACHMAN ET A 31 December 1996 (31.12.96) abstract	L), , figures 2-6,	2,4,5
A		1,3,6-10
		1,3,0 10
A EP 0688929 A2 (NANOTEQ (PROPRIE 27 December 1995 (27.12.95) line 47 - column 29, line 3	column 20	2,4,5
X Further documents are listed in the continuation of Bo.	x C. X See patent family	annex.
Special categories of cited documents: A" document defining the general state of the art which is not considered to be of particular relevance E" erlier document but published on or after the international filing date	the principle or theory underlyi	
L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other	considered novel or cannot be cased when the document is taken	ce: the claimed invention cannot be considered to involve an inventive n alone
special reason (as specialized) O" document referring to an oral disclosure, use, exhibition or other means	constucted to involve an invent	re: the claimed invention cannot be tive step when the document is or such documents, such combination
P" document published prior to the international filing date but later than the priority date claimed	heing obvious to a person skille "&" document member of the same	d in the art
Date of the actual completion of the international search	Date of mailing of the internation	
26 1.1 1000	04 August 1999 (04.	
26 July 1999 Name and mailing address of the ISA/		·
wedish Patent Office	Authorized officer	
30x 5055, S-102 42 STOCKHOLM	Per Källquist/MN	
acsimile No. +46 8 666 02 86	Telephone No. +46 8 782 25	nn
rm PCT/ISA/210 (second sheet) (July 1992)	70.0 702 23	3707

INTERNATIONAL SEARCH REPORT

International application No. PCT/FI 98/00879

C (Continu	PCI/FI 98/0	
Category*	citation of document, with indication, where appropriate, of the relevant passages	ln (
		Relevant to claim N
A	US 5144665 A (K. TAKARAGI ET AL), 1 Sept 1992 (01.09.92), column 18, line 67 - column 19, line 3, figure 5	2,4,5
A	US 5201000 A (S.M. MATAYAS ET AL), 6 April 1993 (06.04.93), column 17, line 48 - column 18, line 30, figures 10,11	2,4,5
A	US 4797672 A (P.T. KOUSA), 10 January 1989 (10.01.89), column 2, line 3 - line 10; column 4, line 54 - line 61; column 4, line 66 - column 5, line 4, column 6, line 41 - line 44	2,4,5
A	WO 9712461 A1 (TELEFONAKTIEBOLAGET LM ERICSSON), 3 April 1997 (03.04.97), page 7, line 4 - page 8, line 4, figure 3, abstract	1-10
		Ř
	210 (continuation of second sheet) (July 1992)	

INTERNATIONAL SEARCH REPORT

Information on patent family members

01/07/99

International application No. PCT/FI 98/00879

	'atent document d in search repo		Publication date		Patent family member(s)		Publication date
US	5590200	A	31/12/96	AT	171331	T	15/10/98
				AU	684112	В	04/12/97
				AU			15/06/95
				CA	2137608		10/06/95
				DE	69413361		00/00/00
				EP	0658054		14/06/95
				IL	107967		05/12/96
				JP	7288522	Α	31/10/95
ΕP	0688929	A2	27/12/95	US	5686904	Α	11/11/97
				ZA	9505429		13/02/96
JS	5144665	A	01/09/92	JP	3243035	A	30/10/91
JS	5201000	Α	06/04/93	CA	2075254		28/03/93
				EP	0534420		31/03/93
				JP	2690004		10/12/97
				JP	5224604		03/09/93
JS	4797672	Α	10/01/89	NONE	=======================================		
10	9712461	A1	03/04/97	AU	7102196	Α	17/04/97
			-	CA	2233463		03/04/97
				CN	1198278		04/11/98
				EP	0872078		21/10/98
				SE	506619		19/01/98
				SE	9503343	Α	28/03/97

Form PCT/ISA/210 (patent family annex) (July 1992)